

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
18 July 2002 (18.07.2002)

PCT

(10) International Publication Number
WO 02/054997 A1

(51) International Patent Classification: **A61F 13/00**,
A61K 9/70, 6/00, 7/00, 31/74

(21) International Application Number: **PCT/US02/00481**

(22) International Filing Date: **7 January 2002 (07.01.2002)**

(25) Filing Language: **English**

(26) Publication Language: **English**

(30) Priority Data:
60/260,587 9 January 2001 (09.01.2001) **US**

(71) Applicant: **LAVIPHARM LABORATORIES INC.**
[US/US]; 69 Princeton-Hightstown Road, East Windsor,
NJ 08520 (US).

(72) Inventors: **FOTINOS, Spiros**; Lavipharm Laboratories
Inc., 69 Princeton-Hightstown Road, East Windsor, NJ
08520 (US). **O'HALLORAN, David**; Lavipharm Labora-
tories Inc., 69 Princeton-Hightstown Road, East Windsor,
NJ 08520 (US). **ZLOTARSKY, Yelena**; Lavipharm
Laboratories Inc., 69 Princeton-Hightstown Road, East
Windsor, NJ 08520 (US).

(74) Agent: **DEIBERT, Thomas, S.**; Dechert, P.O.Box 5218,
Princeton, NJ 08543 (US).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU,
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU,
CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,
GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC,
LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW,
MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK,
SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM,
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW),
Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),
European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR,
GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent
(BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR,
NE, SN, TD, TG).

Published:

- *with international search report*
- *before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments*

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: **DEVICES FOR LOCAL AND SYSTEMIC DELIVERY OF ACTIVE SUBSTANCES AND METHODS OF MANUFACTURING THEREOF**

(57) Abstract: Solid gel film compositions for local and systemic delivery of active substances through the skin or mucosal epithelial layer of a subject are provided. More particularly, delivery discs are provided containing a uniform mixture, wherein the uniform mixture contains a filmogenic polymer and a therapeutically effective dose of an active substance, wherein the delivery disc is a single uniform layer device which is non-tacky and which dissolves onto a skin tissue skin tissue with few drops of water or lotion or mucosal epithelial tissue of a subject when applied thereto. Methods for administering an active substance to a subject using a delivery disc of the present invention are provided. Methods for preparing the delivery discs of the present invention are also provided.

WO 02/054997 A1

**DEVICES FOR LOCAL AND SYSTEMIC DELIVERY OF ACTIVE
SUBSTANCES AND METHODS OF MANUFACTURING THEREOF**

[0001] This Application is a continuation-in-part of U.S. Patent Application Serial No. 09/340,338, filed June 25, 1999 and claims priority from U.S. Provisional Application Serial Nos. 60/090,674, filed June 25, 1998 and 60/260,587, filed January 9, 2001; the disclosures of each of which are incorporated herein by reference as if set forth herein in their entireties.

[0002] The present invention relates to solid gel film compositions for local and systemic delivery of active substances through the skin or mucosal epithelial layer of a subject. More particularly, the present invention relates to delivery discs containing a uniform mixture, wherein the uniform mixture contains a filmogenic polymer and a therapeutically effective dose of an active substance for pharmaceutical or cosmetic application, wherein the delivery disc might contain water-soluble dyes, wherein the delivery disc is a single uniform layer device which is non-tacky and which dissolves onto a skin tissue with few drops of water or lotion or mucosal epithelial tissue of a subject when applied thereto. The present invention also relates to a method of administering an active substance to a subject using a delivery disc of the present invention. The present invention further relates to a method of producing the delivery discs of the present invention.

Summary of the Invention

[0003] In a preferred embodiment of the present invention, a delivery disc containing a uniform mixture is provided, wherein the uniform mixture contains a filmogenic polymer and an effective dose of an active substance, wherein the delivery disc is a single uniform layer device which is non-tacky. In a preferred aspect of the invention, the delivery disc dissolves onto a skin tissue with few drops of water or lotion or mucosal epithelial tissue of a subject when applied thereto.

[0004] In a preferred aspect of the present invention, the uniform mixture further contains at least one additive selected from the group of a stabilizer, a solubilizer, a permeation enhancer, a surfactant and a plasticizer.

[0005] In another preferred aspect of the present invention, the uniform mixture preferably contains 0.1 to 15wt% active substance. Preferably, the active substance is selected from the group of cosmetic agents and therapeutic agents. Preferably, the cosmetic agents are selected from the group of anti-hyperpigmentation agents, anti-acne agents, keratolytic agents, anti-blotching agents, anti-aging agents, eye contour agents, slimming agents, anti-cellulite agents, soothing/sunburn anti-irritating agents, skin firming and lifting agents, anti-elastase and anti-collagenase substances, free radical scavengers, seborregulators, hydratives and alpha-hydroxy acids. Preferably, the therapeutic agents are selected from the group of cardiovascular agents, hormones, neurotransmitters, antibiotics, antimicrobials, catecholamines and sympathomimetic drugs, adrenergic receptor agonists and antagonists, anesthetics, benzodiazepines, analgesics, antidepressants, hypnotics, sedatives, antipsychotic agents, muscle relaxants and anti-cancer agents.

[0006] In another preferred aspect of the present invention, the uniform mixture preferably contains 0.01 to 15wt% permeation enhancer. Preferably, the permeation enhancer is selected from the group of a glycolipid, a non-esterified fatty acid, an aliphatic alcohol, a fatty acid ester of an aliphatic alcohol, a cyclohexanol, a fatty acid ester of glycerol, a glycol, an aliphatic alcohol ether of a glycol and mixtures thereof.

[0007] In another preferred aspect of the present invention, the uniform mixture preferably contains 5 to 100wt% filmogenic polymer. In one preferred embodiment of the present invention, the uniform mixture preferably contains 5 to 50wt% filmogenic polymer. In another preferred embodiment, the uniform mixture preferably contains at least 75wt% filmogenic polymer, in which embodiment the site of application of the delivery disc is preferably prewetted before application of the delivery disc. In another preferred embodiment, the uniform mixture preferably contains 90 to 95wt% filmogenic polymer. Preferably, the filmogenic polymer is selected from the group of polyvinyl pyrrolidone, chitin, chitosan, xanthan gum, karaya gum, zein, hordein, gliadin and mixtures thereof, most preferably polyvinyl pyrrolidone.

[0008] In another preferred aspect of the present invention, the uniform mixture preferably contains 0 to 60wt% plasticizer. In one preferred embodiment of the present invention, the uniform mixture contains 30 to 60wt% plasticizer. In another preferred

embodiment, the uniform mixture contains less than 30wt% plasticizer. Preferably, the plasticizer is polyethylene glycol, most preferably polyethylene glycol 400 and polyethylene glycol 4000.

[0009] In another preferred aspect of the present invention, the uniform mixture preferably contains 1 to 20wt% surfactant/emulsifier. Preferably, the surfactant is selected from the group of ethoxylated alcohols, sodium lauryl sulfate and betaine.

[0010] In another preferred embodiment of the present invention, a method for transdermally administering an active substance to a subject is provided, which method includes: (a) wetting a skin tissue of the subject at a site of application; and, (b) applying to the site of application a delivery disc of the present invention containing a uniform mixture, wherein the uniform mixture preferably contains a filmogenic polymer and an effective dose of an active substance, wherein the delivery disc is preferably a single uniform layer device which preferably is non-tacky and which preferably dissolves onto the wetted skin tissue or mucosal epithelial tissue of a subject when administered thereto. In this embodiment of the present invention, the delivery discs are preferably administered to a skin tissue with few drops of water or lotion with gentle rubbing or mucosal epithelial tissue.

[0011] In another preferred embodiment of the present invention, a method for transmucosally administering an active substance to a subject is provided, which method includes: (a) applying a delivery disc of the present invention to a mucosal epithelial layer of the subject; wherein the delivery disc contains a uniform mixture, wherein the uniform mixture contains a filmogenic polymer and an effective dose of an active substance, wherein the delivery disc is preferably a single uniform layer device which preferably is non-tacky and which preferably dissolves onto the wetted skin tissue or mucosal epithelial tissue of the subject when administered thereto. In this embodiment of the present invention, the delivery discs are preferably administered to a skin tissue with few drops of water or lotion with gentle rubbing or mucosal epithelial tissue.

[0012] In another preferred embodiment of the present invention, a method for cleansing a skin tissue of a subject is provided, which method includes: (a) wetting the skin tissue of the subject to be cleansed; and (b) applying a delivery disc to the wetted skin tissue; or vice versa (first applying the disc, and then wetting it) wherein the delivery disc comprises a uniform

mixture, wherein the uniform mixture comprises a filmogenic polymer and a surfactant. The delivery disc used in this method of the present invention preferably is non-tacky and preferably dissolves and foams on the wetted skin tissue of the subject when administered thereto. In this embodiment of the present invention, the delivery discs are preferably administered to a skin tissue with water and worked into a lather (foam) with gentle rubbing and then rinsed from the skin.

Detailed Description

[0013] The term "transdermal" as used herein and in the appended claims means a route of delivery for an active substance across the epithelial layer of a patient, including across the stratum corneum comprising dead differentiated epithelial cells that have produced a dry keratinized layer.

[0014] The term "transmucosal" as used herein and in the appended claims means a route of delivery for an active substance across a mucosal epithelial layer, for example, in an oral cavity and a vaginal cavity of a subject.

[0015] Transdermal and transmucosal drug delivery systems are described in "Transdermal and Topical Drug Delivery Systems," Ed. Ghosh, T. et al. (Buffalo Grove, IL: Interpharm Press, Inc., 1997), which describes the higher permeability of mucosal tissue, which reference is incorporated herein by reference as if set forth herein in full.

[0016] The present invention provides delivery discs for administering an active substance to a subject and/or for cleansing a skin tissue of a subject. The delivery discs of the present invention contain a uniform mixture containing a filmogenic polymer and an effective dose of an active substance and/or a surfactant. The delivery discs of the present invention dissolves onto a skin tissue with few drops of water or lotion or a mucosal epithelial tissue of a subject when administered thereto.

[0017] The delivery discs of the present invention preferably contain a filmogenic polymer and an effective dose of an active substance. The delivery discs of the present invention are preferably a single uniform layer device which is preferably non-tacky and which preferably dissolves onto a skin tissue with few drops of water or lotion or mucosal epithelial tissue of a

subject when applied thereto. The delivery discs of the present invention may preferably be biodegradable. The delivery discs of the present invention may be rigid or flexible. Rigid delivery discs of the present invention do not require additional support structures such as backing layers and or release layers.

[0018] The uniform mixture used in the delivery discs of the present invention may preferably contain at least one optional additive selected from the group of stabilizers, solubilizers, permeation enhancers, surfactants, plasticizers and water soluble dyes.

[0019] Active substances suitable for use with the present invention preferably include cosmetic agents and therapeutic agents. Preferably, the delivery discs of the present invention may contain 0.1 to 15wt% active substances. The active substances may be incorporated into a carrier system. Carrier systems suitable for use with the present invention include, but are by no means limited to, liposomes and cyclodextrin complexes.

[0020] Cosmetic agents suitable for use with the present invention include, but are by no means limited to, anti-hyperpigmentation agents, anti-acne, keratolytic, anti-blotching agents, anti-aging agents, eye contour agents, slimming agents, anti-cellulite agents, soothing/sunburn anti-irritating agents, skin firming and lifting agents, anti-elastase and anti-collagenase substances, free radical scavengers, seborregulators, hydratives and alpha-hydroxy acids.

[0021] Therapeutic agents suitable for use with the present invention include, but are by no means limited to, cardiovascular agents, hormones, neurotransmitters, antibiotics, antimicrobials, catecholamines and sympathomimetic drugs, adrenergic receptor agonists and antagonists, anesthetics, benzodiazepines, analgesics, antidepressants, hypnotics, sedatives, antipsychotic agents, muscle relaxants and anti-cancer agents.

[0022] Filmogenic polymers suitable for use with the present invention include, but are by no means limited to, any synthetic polymers with filmogenic properties, any natural polymers with filmogenic properties and mixtures thereof. Preferably, the filmogenic polymer may be selected from the group of polyvinyl pyrrolidone, chitin, chitosan, xanthan gum, karaya gum, zein, hordein, gliadin and mixtures thereof; preferably polyvinyl pyrrolidone. Preferably, the delivery discs of the present invention may preferably contain 5 to 100wt% filmogenic polymer, preferably 5 to 50wt% filmogenic polymer, alternatively preferably at least 75wt% filmogenic polymer.

[0023] Plasticizers suitable for use with the present invention include, but are by no means limited to, any plasticizers conventionally used in transdermal and transmucosal delivery devices. Preferred plasticizers may include polyethylene glycol, more preferably polyethylene glycol - 4000. Preferably, the delivery discs of the present invention may contain 0 to 60wt% plasticizer. In one preferred embodiment of the present invention, the uniform mixture may contain 30 to 60wt% plasticizer. In another preferred embodiment, the delivery discs of the present invention may contain less than 30wt% plasticizer.

[0024] Delivery discs using a uniform mixture containing less than 50wt% filmogenic polymer preferably contain 30 to 60wt% plasticizer. Delivery discs using a uniform mixture containing at least 75wt% filmogenic polymer preferably contain less than 30wt% plasticizer. Prewetting of a skin tissue at a non-mucosal epithelial tissue site of administration of a delivery discs of the present invention may not be necessary when the uniform mixture contains 30 to 60wt% plasticizer. Prewetting is generally required, however, when the uniform mixture contains less than 30wt% plasticizer.

[0025] Permeation enhancers suitable for use with the present invention include, but are by no means limited to, glycolipids, non-esterified fatty acids, aliphatic alcohols, fatty acid esters of aliphatic alcohols, cyclohexanol, fatty acid esters of glycerols, glycols, aliphatic alcohol ethers of a glycol and mixtures thereof. Preferably, the delivery discs of the present invention may contain 0.01 to 15wt% permeation enhancers.

[0026] Surfactants suitable for use with the present invention include, but are by no means limited to, any anionic, cationic or nonionic surfactants or emulsifiers conventionally used in cosmetics. Preferred surfactants may include ethoxylated alcohols, sodium lauryl sulfate and betaine. Preferably, the delivery discs of the present invention may contain 1 to 20wt% surfactants.

[0026] Water soluble dyes suitable for use with the present invention include, but are by no means limited to, any water soluble dyes conventionally used in cosmetics. Preferred water soluble dyes may include FD&C and D&C Dyes. Preferably, the delivery discs of the present invention may contain 0.001 to 2.00 wt% water soluble dyes. The use of water soluble dyes in the delivery discs of the present invention provide the opportunity to add fun to the application of the given discs. That is, the delivery discs may be colored using these water soluble dyes such that

the color dissappears as the delivery disc dissolves into the skin. This aspect of the invention provides vast opportunity to advance marketing concepts in a fun way.

[0028] The present invention further provides a method for transdermally administering an active substance to a subject, which method includes: (a) wetting a skin tissue of the subject at a site of application; and, (b) applying to the site of application a delivery disc of the present invention containing a uniform mixture, wherein the uniform mixture preferably contains a filmogenic polymer and an effective dose of an active substance, wherein the delivery disc is preferably a single uniform layer device which preferably is non-tacky and which preferably dissolves onto a skin tissue with few drops of water or mucosal epithealial tissue of a subject when administered thereto. In this embodiment of the present invention, the delivery discs are preferably administered to a skin tissue with few drops of water / lotion with gentle rubbing or mucosal epithealial tissue.

[0029] The present invention further provides a method for transmucosally administering an active substance to a subject, which method includes: (a) applying a delivery disc of the present invention to a mucosal epithelial layer of the subject; wherein the delivery disc contains a uniform mixture, wherein the uniform mixture contains a filmogenic polymer and an effective dose of an active substance, wherein the delivery disc is preferably a single uniform layer device which preferably is non-tacky and which preferably dissolves onto skin tissue with few drops of water; or mucosal epithelial tissue of the subject when administered thereto. In this embodiment of the present invention, the delivery discs are preferably administered to a skin tissue with few drops of water or lotion with gentle rubbing or mucosal epithealial tissue.

[0030] The present invention further provides a method for cleansing a skin tissue of a subject, which method includes: (a) wetting the skin tissue of the subject to be cleansed; and (b) applying a delivery disc to the wetted skin tissue or vice versa (first applying the disc, and then wetting it); wherein the delivery disc comprises a uniform mixture, wherein the uniform mixture comprises a filmogenic polymer and a surfactant. The delivery disc used in this method of the present invention preferably is non-tacky and preferably dissolves and foams on wetted skin tissue of the subject when administered thereto. In this embodiment of the present invention, the delivery discs are preferably administered to a skin tissue with water and then worked into a lather (foam) and then rinsed from the skin.

EXAMPLES

[0031] The preferred embodiments of the present invention will now be further described through the following examples set forth here in below which are intended to be illustrative of the preferred embodiments of the present invention and are not intended to limit the scope of the invention as set forth in the appended claims.

Examples 1-5

[0032] Five example formulations for preferred delivery discs of the present invention are set forth in Table 1.

Table 1

<u>Ingredient</u>	<u>Ex. 1</u> (wt% dry)	<u>Ex. 2</u> (wt% dry)	<u>Ex. 3</u> (wt% dry)	<u>Ex. 4</u> (wt% dry)	<u>Ex. 5</u> (wt% dry)
Polyvinyl pyrrolidone	86.0	88.3	86.0	86.0	80.0
Polyethylene glycol-4000	5.5	0.9	5.5	5.5	5.5
Butylene glycol		3.0			
Glycerin		3.0			
Spheron L-1500	3.0		3.0	3.0	3.0
Silica					
Laponite XL		1.0			
Sodium Magnesium Silicate					
Menthol					10.0
Salicylic acid			4.0		
Ascorbic acid				4.0	
Lactic acid	4.0				
Avalure UR-410		2.0			
Polyurethane					
Nylon 66		4.8			
Water	QS	QS	QS	QS	QS

Example 1:

[0033] The uniform mixture formulation identified in Table 1 as Example 1 was prepared as follows:

- (a) dissolving the polyvinyl pyrrolidone in a volume of alcohol;
- (b) slowly adding the polyethylene glycol into the product of (a);
- (c) dissolving the lactic acid into a volume of water;
- (d) adding the butylene glycol to the product of (c);
- (e) adding the product (d) to the product of (b);
- (f) removing air from the product of (e);
- (g) adding the silica to the product of (f) mix until uniform;
- (h) casting the product of (g) onto a release liner at 15mil thickness; and,
- (i) drying the product of (h) in an oven until uniform and non-tacky (about 10 minutes).

Example 2:

[0034] The uniform mixture formulation identified in Table 1 as Example 2 was prepared as follows:

- (a) adding laponite XL into a volume of water under vigorous agitation until a clear gel with no entrained particles is formed;
- (b) adding polyvinyl pyrrolidone into a volume of alcohol with agitation;
- (c) adding the polyethylene glycol to the product of (b) and mixing until uniform
- (d) mixing the products of (a) and (c)
- (e) blending the avalure with the glycerin and a volume of water until uniform;
- (f) adding the product of (e) to the product of (d);
- (g) removing air from the product of (f);
- (h) adding the nylon 66 to the product of (g);
- (i) casting the product of (h) onto a release liner at 15-mil thickness; and,
- (j) drying the product of (i) in an oven until the film is uniform and not-tacky (about 10 minutes).

Example 3:

[0035] The uniform mixture formulation identified in Table 1 as Example 3 was prepared as follows:

- (a) adding the polyvinyl pyrrolidone to a volume of alcohol;
- (b) adding the polyethylene glycol to the product of (a);
- (c) blending the salicylic acid, the butylene glycol and a volume of water and adding the blend to the product of (b);
- (d) removing air from the product of (c);
- (e) adding silica to the product of (d);
- (f) casting the product of (e) onto a release liner at 15-mil thickness; and
- (g) drying the product of (f) in an oven until the film is uniform and not tacky (about 10 minutes).

Example 4:

[0036] The uniform mixture formulation identified in Table 1 as Example 4 was prepared as follows:

- (a) adding polyvinyl pyrrolidone to a volume of alcohol;
- (b) adding the polyethylene glycol to the product of (a);
- (c) dissolving ascorbic acid into a volume of water;
- (d) adding butylene glycol to the product of (c);
- (e) mixing the products of (b) and (d);
- (f) removing air from the product of (e);
- (g) adding silica to the product of (f);
- (h) casting the product of (g) onto a release liner at 15-mil thickness; and,
- (i) drying the product of (h) in an oven until the film is uniform and non-tacky (about 10 minutes).

Example 5:

[0037] The uniform mixture formulation identified in Table 1 as Example 5 was prepared as follows:

- (a) adding polyvinyl pyrrolidone to a volume of alcohol;

- (b) adding polyethylene glycol to the product of (a);
- (c) dissolving the menthol in the butylene glycol and a volume of water;
- (d) mixing the products of (b) and (c);
- (e) removing air from the product of (d);
- (f) adding silica to the product of (e);
- (g) casing the product of (f) on a release liner at 15-mil thickness; and,
- (h) drying the product of (g) in an oven until the film is uniform and non-tacky (about 10 minutes).

Examples 6-8 (cleansing solid gels)

[0038] The example formulations for preferred cleansing gel delivery discs of the present invention are set forth in Table 2.

Table 2

Ingredient	Ex. 6 (wt% dry)	Ex. 7 (wt% dry)	Ex. 8 (wt% dry)
Polyvinyl pyrrolidone (K-90)	78.0	81.3	80.0
Polyethylene glycol 4000	5.5	0.9	5.5
Butylene glycol	1.5		1.5
Glycerin		3.0	
Spheron L-1500 Silica	3.0		3.0
Laponite XL (Sodium Magnesium Silicate)		1.0	
Salicylic acid			4.0
Lactic acid	2.0		
Avalure UR-410 polyurethane		2.0	
Nylon 66		4.8	
Surfadone LP (Lauryl Pyrrolidone)			1.0
Betaine		2.0	
Alkyl (C12, C10, C16) Glucoside	3.0		
Magnesium Laureth Sulfate		5.0	
Sodium Lauryl sulfate	7.0		5.0
Water	QS	QS	QS

Example 6:

[0039] The uniform mixture formulation identified in Table 2 as Example 6 was prepared as follows:

- (a) adding the polyvinyl pyrrolidone to ethyl alcohol blending well;
- (b) adding the polyethylene glycol to the product of (a);
- (c) adding the lactic acid and the butylene glycol to the product of (b);
- (d) adding the alkyl glucoside and sodium lauryl sulfate to the product of (c);
- (e) removing air from the product of (d);
- (f) adding silica to the product of (e);
- (g) casting the product of (f) onto a release liner at 15-mil thickness; and,
- (h) drying the product of (g) in an oven to produce a uniform non-tacky film.

Example 7:

[0040] The uniform mixture formulation identified in Table 2 as Example 7 was prepared as follows:

- (a) adding the polyvinyl pyrrolidone to ethyl alcohol, then adding to this mixture the Laponite XL (which is dispersed into a volume of water under vigorous agitation);
- (b) adding the magnesium laureth sulfate to the product of (a);
- (c) adding the polyethylene glycol to the product of (b);
- (d) blending avalue and glycerin until uniform;
- (e) mixing the products of (c) and (d);
- (f) adding betaine to the product of (e);
- (g) removing air from the product of (f);
- (h) adding nylon 66 to the product of (g);
- (i) casting the product of (h) onto a release liner at 15-mil thickness; and,
- (j) drying the product of (i) until the film is uniform and non-tacky (about 10 minutes).

Example 8:

[0041] The uniform mixture formulation identified in Table 2 as Example 7 was prepared as follows:

- (a) mixing the polyvinyl pyrrolidone into ethanol;
- (b) adding the polyethylene glycol and sodium laureth sulfate to the product of (a);
- (c) dissolving the salicylic acid in the butylene glycol;
- (d) mixing the products of (b) and (c);
- (e) removing air from the product of (d);
- (f) adding silica to the product of (e);
- (g) adding surfadone to the product of (f);
- (h) casting the product of (g) onto a release liner at 15-mil thickness; and,
- (i) drying the product of (h) in an oven until the film is uniform and non-tacky (about 10 minutes).

Examples 9-14 (Devices for local/systemic delivery of active substances)

[0042] The example formulations for preferred cleansing gel delivery discs of the present invention are set forth in Table 3.

Table 3

<u>Ingredient</u>	<u>Ex. 9</u> (wt% dry)	<u>Ex. 10</u> (wt% dry)	<u>Ex. 11</u> (wt% dry)	<u>Ex. 12</u> (wt% dry)	<u>Ex. 13</u> (wt% dry)	<u>Ex. 14</u> (wt% dry)
Hormone	6.20	6.20				6.20
Linoleic acid ¹	6.00					
Lipocol-12 ²		8.00				
Isopropyl myristate			3.70	3.70		
Cosmetic agent			2.50	2.50	2.50	
Eutanol ZG16S ³			2.50		2.50	
L-Menthol ⁴			5.00	5.00		
Montane 80 VGA ⁵						1.5
Gliadin mixture ⁶						93.30
Polyvinyl pyrrolidone ⁷	42.40	41.50	41.70	42.90	91.00	
Polyethylene glycol - 400 ⁸	45.40	44.30	44.60	45.90	4.00	

[0043] The present invention having been disclosed in connection with the foregoing embodiments, additional embodiments will now be apparent to persons skilled in the art. The present invention is not intended to be limited to the embodiments specifically mentioned, and accordingly reference should be made to the appended claims rather than the foregoing discussion, to assess the spirit and scope of the present invention in which exclusive rights are claimed.

¹ Linoleic acid is a fatty acid commercially available from Croda Chemicals Ltd.

² Lipocol-12 is 12 mole polyethylene glycol ether of lauryl alcohol commercially available from LIPO Chemicals Inc.

³ Eutanol G 16S is hexyldecyl stearate commercially available from Henkel KgaA.

⁴ L-Menthol is commercially available from Sigma Chemical Co.

⁵ Montane 80 VGA is polysorbate monooleate commercially available from Seppic.

⁶ Gliadin mixture is commercially available from Inocsm Lab.

⁷ Polyvinyl pyrrolidone is commercially available from BASF Aktiengesellschaft under the trademark "Kollidon 90 F".

⁸ Polyethylene glycol 400 is commercially available from ICI Surfactants.

We claim:

1. A delivery disc comprising a uniform mixture, wherein the uniform mixture comprises a filmogenic polymer and an effective dose of an active substance, wherein the delivery disc is a single uniform layer device which is non-tacky and which dissolves onto a wetted skin tissue or mucosal epithelial tissue of a subject when applied thereto.
2. The delivery disc of claim 1, wherein the uniform mixture further comprises at least one additive selected from the group consisting of a stabilizer, a solubilizer, a permeation enhancer, a surfactant and a plasticizer.
3. The delivery disc of claim 1, wherein the active substance is selected from the group consisting of a cosmetic agent and a therapeutic agent.
4. The delivery disc of claim 3, wherein the cosmetic agent is selected from the group consisting of: anti-hyperpigmentation agents, anti-blotching agents, anti-aging agents, eye contour agents, slimming agents, anti-cellulite agents, soothing/sunburn anti-irritating agents, skin firming and lifting agents, anti-elastase and anti-collagenase substances, free radical scavengers, seborregulators, hydratives and alpha-hydroxy acids.
5. The delivery disc of claim 3, wherein the cosmetic agent is selected from the group consisting of anti-acne agents.
6. The delivery disc of claim 3, wherein the therapeutic agent is selected from the group consisting of: cardiovascular agents, hormones, neurotransmitters, antibiotics, antimicrobials, catecholamines and sympathomimetic drugs, adrenergic receptor agonists and antagonists, anesthetics, benzodiazepines, analgesics, antidepressants, hypnotics, sedatives, antipsychotic agents, muscle relaxants and anti-cancer agents.
7. The delivery disc of claim 2, wherein the permeation enhancer is selected from the group consisting of a glycolipid, a non-esterified fatty acid, an aliphatic alcohol, a fatty acid

ester of an aliphatic alcohol, a cyclohexanol, a fatty acid ester of glycerol, a glycol, an aliphatic alcohol ether of a glycol and mixtures thereof.

8. The delivery disc of claim 1, wherein the filmogenic polymer is selected from the group consisting of polyvinyl pyrrolidone, chitin, chitosan, xanthan gum, karaya gum, zein, hordein, gliadin and mixtures thereof.
9. The delivery disc of claim 2, wherein the plasticizer is polyethylene glycol 4000.
10. The delivery disc of claim 2, wherein the surfactant is selected from the group consisting of ethoxylated alcohols, sodium lauryl sulfate and betaine.
11. The delivery disc of claim 10, wherein the uniform mixture comprises 1 to 20wt% surfactant.
12. The delivery disc of claim 2, wherein the uniform mixture comprises less than 30wt% plasticizer.
13. The delivery disc of claim 1, wherein the uniform mixture comprises at least 5wt% filmogenic material.
14. The delivery disc of claim 13, wherein the uniform mixture comprises less than 50wt% filmogenic material.
15. The delivery disc of claim 13, wherein the uniform mixture comprises at least 75% filmogenic material.
16. The delivery disc of claim 2, wherein the uniform mixture comprises 30 to 60wt% plasticizer.

17. The delivery disc of claim 2, wherein the uniform mixture comprises less than 30wt% plasticizer.

18. The delivery disc of claim 1, wherein the uniform mixture comprises 0.1 to 15wt% active substance.

19. The delivery disc of claim 1, wherein the uniform mixture comprises 0.01 to 15wt% permeation enhancer.

20. A method for transdermally administering an active substance to a subject comprising:

- (a) wetting a skin tissue of the subject at a site of application; and,
- (b) applying to the site of application a delivery disc;

wherein the delivery disc comprises a uniform mixture, wherein the uniform mixture comprises a filmogenic polymer and an effective dose of an active substance, wherein the delivery disc is a single uniform layer device which is non-tacky and which dissolves onto a wetted skin tissue or mucosal epithelial tissue of a subject when applied thereto.

21. A method for transmucosally administering an active substance to a subject comprising:

- (a) applying a delivery disc to a mucosal epithelial layer of the subject;

wherein the delivery disc comprises a uniform mixture, wherein the uniform mixture comprises a filmogenic polymer and an effective dose of an active substance, wherein the delivery disc is a single uniform layer device which is non-tacky and which dissolves onto a wetted skin tissue or mucosal epithelial tissue of a subject when applied thereto.

22. A method for cleansing a skin tissue of a subject comprising:

- (a) wetting the skin tissue of the subject to be cleansed; and,
- (b) applying a delivery disc to the wet skin tissue;

wherein the delivery disc comprises a uniform mixture, wherein the uniform mixture comprises a filmogenic polymer and a surfactant.

23. The method of claim 22, further comprising:
- (c) creating a foam or lather with the delivery disc on the wet skin tissue and rinsing from the skin.

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US02/00481

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : A61F 13/00; A61K 9/70, 6/00, 7/00, 31/74

US CL : 424/449, 401, 78.02, 78.03, 78.05

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 424/449, 401, 78.02, 78.03, 78.05

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
BRS

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5,935,596 A (CROTTY et al) 10 August 1999 (10.08.1999) see entire document.	1-6, 8, 12-15, 17-18, 20-23
Y	US 4,696,821 A (BELSOLE) 29 September 1987 (29.09.1987) see entire document.	7, 9-11, 16, 19

☐ Further documents are listed in the continuation of Box C.

☐ See patent family annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"B" earlier application or patent published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

04 May 2002 (04.05.2002)

Date of mailing of the international search report

21 MAY 2002

Name and mailing address of the ISA/US

Commissioner of Patents and Trademarks

Box PCT

Washington, D.C. 20231

Facsimile No. (703)305-3230

Authorized officer

Todd D. Ware

Telephone No. (703) 308-1234